

**[1003]** Modulation also facilitates multiple-access, i.e., simultaneous transmission and/or reception, of several signals over a common communication channel. Multiple-access communication systems often include a plurality of remote subscriber units requiring intermittent service of relatively

short duration rather than continuous access to the common communication channel. Several multiple-access techniques are known in the art, such as time division multiple-access (TDMA), frequency division multiple-access (FDMA), and amplitude modulation multiple-access (AM). Another type of a multiple-access technique is a code division multiple-access (CDMA) spread spectrum system that conforms to the "TIA/EIA/IS-95 Mobile Station-Base Station Compatibility Standard for Dual-Mode Wide-Band Spread Spectrum Cellular System," hereinafter referred to as the IS-95 standard. The use of CDMA techniques in a multiple-access communication system is disclosed in U.S. Patent No. 4,901,307, entitled "SPREAD SPECTRUM MULTIPLE-ACCESS COMMUNICATION SYSTEM USING SATELLITE OR TERRESTRIAL REPEATERS," and U.S. Patent No. 5,103,459, entitled "SYSTEM AND METHOD FOR GENERATING WAVEFORMS IN A CDMA CELLULAR TELEPHONE SYSTEM," both assigned to the assignee of the present invention.

[1004] A multiple-access communication system may be a wireless or wire-line and may carry voice and/or data. An example of a communication system carrying both voice and data is a system in accordance with the IS-95 standard, which specifies transmitting voice and data over the communication channel. A method for transmitting data in code channel frames of fixed size is described in detail in U.S. Patent No. 5,504,773, entitled "METHOD AND APPARATUS FOR THE FORMATTING OF DATA FOR TRANSMISSION", assigned to the assignee of the present invention. In accordance with the IS-95 standard, the data or voice is partitioned into code channel frames that are 20 milliseconds wide with data rates as high as 14.4 Kbps. Additional examples of a communication systems carrying both voice and data comprise communication systems conforming to the "3rd Generation Partnership Project" (3GPP), embodied in a set of documents including Document Nos. 3G TS 25.211, 3G TS 25.212, 3G TS 25.213, and 3G TS 25.214 (the W-CDMA standard), or "TR-45.5 Physical Layer Standard for cdma2000 Spread Spectrum Systems" (the IS-2000 standard).

[1005] In a multiple-access communication system, communications between users are conducted through one or more base stations. A first user on one subscriber station communicates to a second user on a second

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**[1007]** A significant difference between voice services and data services is the fact that the former imposes stringent and fixed delay requirements. Typically, the overall one-way delay of speech frames must be less than 100 ms. In contrast, the data delay can become a variable parameter used to optimize the efficiency of the data communication system. Specifically, more efficient error correcting coding techniques which require significantly larger delays than those that can be tolerated by voice services can be utilized. An exemplary efficient coding scheme for data is disclosed in U.S. Patent Application Serial No. 08/743,688, entitled "SOFT DECISION OUTPUT DECODER FOR DECODING CONVOLUTIONALLY ENCODED

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**[1009]** Yet another significant difference between voice services and data services is that the former requires a reliable communication link. When a mobile station, communicating with a first base station, moves to the edge of the associated cell or sector, the mobile station initiates a simultaneous communication with a second base station. This simultaneous communication, when the mobile station receives a signal carrying equivalent information from two base stations, termed soft hand-off, is a process of establishing a communication link with the second base station while maintaining a communication link with the first base station. When the mobile station eventually leaves the cell or sector associated with the first base station, and breaks the communication link with the first base station, it continues the communication on the communication link established with the second base station. Because the soft hand-off is a "make before break" mechanism, the soft-handoff minimizes the probability of dropped calls. The method and system for providing a communication with a mobile station through more than one base station during the soft hand-off process are disclosed in U.S. Patent No. 5,267,261, entitled "MOBILE ASSISTED SOFT HAND-OFF IN A CDMA CELLULAR TELEPHONE SYSTEM," assigned to the assignee of the present invention. Softer hand-off is the process whereby the communication occurs over multiple sectors that are serviced by the same base station. The process of softer hand-off is described in detail in co-pending U.S. Patent Application Serial No. 08/763,498, entitled "METHOD AND APPARATUS FOR

PERFORMING HAND-OFF BETWEEN SECTORS OF A COMMON BASE STATION", filed December 11, 1996, assigned to the assignee of the present invention. Thus, both soft and softer hand-off for voice services result in redundant transmissions from two or more base stations to improve reliability.

[1010] This additional reliability is not required for data transmission because the data packets received in error can be retransmitted. For data services, the parameters, which measure the quality and effectiveness of a data communication system, are the transmission delay required to transfer a data packet and the average throughput rate of the system. Transmission delay does not have the same impact in data communication as in voice communication, but the transmission delay is an important metric for measuring the quality of the data communication system. The average throughput rate is a measure of the efficiency of the data transmission capability of the communication system. Consequently, the transmit power and resources used to support soft hand-off can be more efficiently used for transmission of additional data. To maximize the throughput, the transmitting sector should be chosen in a way that maximizes the forward link throughput as perceived by the AT.

[1011] There is, therefore, a need in the art for a method and an apparatus for selecting a sector in a data communication system that maximizes the forward link throughput as perceived by the AT.

## SUMMARY

[1012] In one aspect of the invention, the above stated needs are addressed by determining at the remote station a forward link quality metric for each sector in the remote station's list, a quality related to a reverse link quality metric for each sector in the remote station's list; and directing communication between the remote station and one sector from the sectors in the remote station's list in accordance with said determined forward link quality metrics and said determined qualities related to a reverse link quality metric. The quality metric of a forward link for each sector in the remote station's list is determined by measuring a signal-to-noise-and-interference-ratio of the forward link. The

quality related to a reverse link quality metric for each sector in the remote station's list is determined by ascertaining at the remote station a first signal value at a position in a first channel of the forward link for each sector in the remote station's list, and processing at the remote station said ascertained first signal value for the each sector in the remote station's list. The communication between the remote station and one sector from the sectors in the remote station's list in accordance with said determined forward link quality metrics and said determined qualities related to a reverse link quality metric by assigning credits to each sector in the remote station's list except a current serving sector in accordance with said determined forward link quality metrics and said determined qualities related to a reverse link quality metric, and directing communication between the remote station and one sector from the sectors in the remote station's list in accordance with said assigned credits..

[1013] In another aspect of the invention, the above stated needs are addressed by determining at the remote station a forward link quality metric for each sector in the remote station's list, a forward link de-rating value for at least one sector in the remote station's list, and directing communication between the remote station and one sector from the sectors in the remote station's list in accordance with said determined forward links quality metrics and said determined forward link de-rating value.. The quality metric of a forward link for each sector in the remote station's list is determined by measuring a signal-to-noise-and-interference-ratio of the forward link. The forward link de-rating value for at least one sector in the remote station's list is determined by ascertaining at the remote station a first signal value at a position in a first channel of the forward link for the at least one sector in the remote station's list, processing at the remote station said ascertained first signal value for the at least one sector in the remote station's list, and determining at the remote station the forward link de-rating value in accordance with said processed first signal value for the at least one sector in the remote station's list. The communication between the remote station and one sector from the sectors in the remote station's list is directed by de-rating said determined forward link quality metrics in accordance with said determined forward link de-rating value, assigning credits to each sector in the remote station's list except the sector currently serving the remote station in accordance with said de-rated forward link quality metric and directing

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## BRIEF DESCRIPTION OF THE DRAWINGS

**[1014]**FIG.1 illustrates a conceptual diagram of an HDR communication system;

[1015] FIG. 2 illustrates an exemplary forward link waveform;

**[1016]FIG. 3** illustrates a typical relationship between filtered RPC mean and a DRC erasure rate for a slow fading communication channel;

**[1017]FIG. 4** illustrates an approximation of relationship between filtered RPC mean and a DRC erasure rate in accordance with one embodiment;

**[1018]**FIG. 5 illustrates a flowchart diagram of the Initialization phase in accordance with one embodiment;

[1019]FIG. 6 illustrates a flowchart diagram of the Credit Accumulation phase in accordance with one embodiment;

**[1020]**FIG. 7 illustrates a flow diagram of the Decision phase in accordance with one embodiment;

**[1021]**FIG. 8 illustrates a relationship between a filtered RPC mean and a forward link SINR de-rating for a slow fading communication channel;

**[1022]**FIG. 9 illustrates approximations of a relationship between filtered RPC mean and a forward link de-rating;

**[1023]**FIG. 10 illustrated a flowchart diagram of the Credit Accumulation phase in accordance with one embodiment;

**[1024]**FIG. 11 illustrates a flow diagram of the Decision phase in accordance with another embodiment;

**[1025]FIG. 12** illustrates an approximation of a relationship between filtered RPC mean and a DRC erasure rate in accordance with one embodiment

**[1026]**FIG. 13 illustrates an approximation of a relationship between filtered RPC mean and a forward link de-rating in accordance with one embodiment;

[1027] FIG. 14 illustrates a relation between a filtered RPC mean and a DRC erasure rate for a slow-fading communication channel with identification of re-pointing regions;

[1028] FIG. 15 illustrates an Access Point processing of a data request (DRC) for a combined Message Based DRC Lock and filtered RPC method in accordance with one embodiment; and

[1029] FIG. 16 illustrates a Decision phase at the Access Terminal for the combined Message Based DRC Lock and filtered RPC method in accordance with one embodiment.

## DETAILED DESCRIPTION

### Definitions

[1030] The word “exemplary” as used herein means “serving as an example, instance, or illustration.” Although a best mode embodiment is contained herein, an embodiment described as “exemplary” is not necessarily to be construed as preferred or advantageous over other embodiments.

[1031] The term packet as used herein means a group of bits, including data (payload) and control elements, arranged into a specific format. The control elements comprise, e.g., a preamble, a quality metric, and others known to one skilled in the art. Quality metric comprises, e.g., a cyclical redundancy check (CRC), a parity bit, and others known to one skilled in the art.

[1032] The term access network as used herein means a collection of access points (AP) and one or more access point controllers. The access network transports data packets between multiple access terminals (AT). The access network may be further connected to additional networks outside the access network, such as a corporate Intranet or the Internet, and may transport data packets between each access terminal and such outside networks.

[1033] The term base station, referred to herein as an AP in the case of an HDR communication system, as used herein means the hardware with which subscriber stations communicate. Cell refers to the hardware or a geographic coverage area, depending on the context in which the term is used. A sector is



a partition of a cell. Because a sector has the attributes of a cell, the teachings described in terms of cells are readily extended to sectors.

**[1034]** The term subscriber station, referred to herein as an AT in the case of an HDR communication system, as used herein means the hardware with which an access network communicates. An AT may be mobile or stationary. An AT may be any data device that communicates through a wireless channel or through a wired channel, for example using fiber optic or coaxial cables. An AT may further be any of a number of types of devices including but not limited to PC card, compact flash, external or internal modem, or wireless or wireline phone. An AT that is in the process of establishing an active traffic channel connection with an AP is said to be in a connection setup state. An AT that has established an active traffic channel connection with an AP is called an active AT, and is said to be in a traffic state.

**[1035]** The term communication channel/link as used herein means a single route over which a signal is transmitted described in terms of modulation characteristics and coding, or a single route within the protocol layers of either the AP or the AT.

**[1036]** The term reverse channel/link as used herein means a communication channel/link through which the AT sends signals to the AP.

**[1037]** A forward channel/link is as used herein to mean a communication channel/link through which an AP sends signals to an AT.

**[1038]** The term soft hand-off as used herein means a communication between a subscriber station and two or more sectors, wherein each sector belongs to a different cell. In the context of IS-95 standard, the reverse link communication is received by both sectors, and the forward link communication is simultaneously carried on the two or more sectors' forward links. In the context of the IS-856 standard, data transmission on the forward link is non-simultaneously carried out between one of the two or more sectors and the AT.

**[1039]** The term softer hand-off as used herein means a communication between a subscriber station and two or more sectors, wherein each sector belongs to the same cell. In the context of the IS-95 standard, the reverse link communication is received by both sectors, and the forward link communication is simultaneously carried on one of the two or more sectors' forward links. In the

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context of the IS-856 standard, data transmission on the forward link is non-simultaneously carried out between one of the two or more sectors and the AT.

**[1040]** The term re-pointing as used herein means a selection of a sector that is a member of an ATs' active list, wherein the sector is different than a currently selected sector.

**[1041]** The term serving sector as used herein means a sector that a particular AT selected for data communication or a sector that is communicating data to the particular AT.

**[1042]** The term soft/softer hand-off delay as used herein to indicate the minimum interruption in service that a subscriber station would experience following a handoff to another sector. Soft/Softer handoff delay is determined based on whether the sector, (currently not serving the subscriber station), (non-serving sector) to which the subscriber station is re-pointing is part of the same cell as the current serving sector. If the non-serving sector is in the same cell as the serving sector then the softer handoff delay is used, and if the non-serving sector is in a cell different from the one that the serving sector is part of then the soft-handoff delay is used.

**[1043]** The term non-homogenous soft/softer hand-off delay as used herein to indicate that the soft/softer hand-off delays are sector specific and therefore may not uniform across the sectors of an Access Network.

**[1044]** The term credit as used herein means a dimensionless attribute indicating a reverse link quality metric, a quality metric of a forward link, or a composite quality metric of both forward and reverse links.

**[1045]** The term erasure as used herein means failure to recognize a message.

**[1046]** The term outage as used herein means a time interval during which the likelihood that a subscriber station will receive service is reduced.

**[1047]** The term fixed rate mode as used herein means that a particular sector transmits a Forward Traffic Channel to the AT at one particular rate.

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## Description

[1048] FIG. 1 illustrates a conceptual diagram of an HDR communication system capable of performing re-pointing in accordance with embodiments of the present invention, e.g., a communication system in accordance with the IS-856 standard. An AP 100 transmits data to an AT 104 over a forward link 106(1), and receives data from the AT 104 over a reverse link 108(1). Similarly, an AP 102 transmits data to the AT 104 over a forward link 106(2), and receives data from the AT 104 over a reverse link 108(2). In accordance with one embodiment, data transmission on the forward link occurs from one AP to one AT at or near the maximum data rate that can be supported by the forward link and the communication system. Other channels of the forward link, e.g., control channel, may be transmitted from multiple AP's to one AT. Reverse link data communication may occur from one AT to one or more AP's. The AP 100 and the AP 102 are connected to a controller 110 over backhauls 112(1) and 112(2). The term backhaul is used to mean a communication link between a controller and an AP. Although only two AT's and one AP are shown in FIG. 1, one of ordinary skill in the art recognizes that this is for pedagogical purposes only, and the communication system can comprise plurality of AT's and AP's.

[1049] Initially, the AT 104 and one of the AP's, e.g., the AP 100, establish a communication link using a pre-determined access procedure. In this connected state, the AT 104 is able to receive data and control messages from the AP 100, and is able to transmit data and control messages to the AP 100. The AT 104 continually searches for other AP's that could be added to the AT 104 active set. The active set comprises a list of the AP's capable of communication with the AT 104. When such an AP is found, the AT 104 calculates a quality metric of the AP's forward link, which in accordance with one embodiment comprises a signal-to-interference and-noise ratio (SINR). In accordance with one embodiment, the AT 104 searches for other APs and determines the AP's SINR in accordance with a pilot signal. Simultaneously, the AT 104 calculates the forward link quality metric for each AP in the AT 104 active set. If the forward link quality metric from a particular AP is above a pre-determined add threshold or below a pre-determined drop threshold for a pre-determined period of time, the AT 104 reports this information to the AP 100.

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Subsequent messages from the AP **100** direct the AT **104** to add to or to delete from the AT **104** active set the particular AP.

[1050] The AT **104** selects a serving AP from the active set based on a set of parameters. The set of parameters can comprise present and previous SINR measurements, a bit-error-rate and/or a packet-error-rate, and other parameters known to one skilled in the art. In accordance with one embodiment, the serving AP is selected in accordance with the largest SINR measurement. The AT **104** then transmits to the selected AP a data request message (DRC message) on the data request channel (DRC channel). The DRC message can contain the requested data rate or, alternatively, an indication of the quality of the forward link, e.g., the measured SINR, the bit-error-rate, or the packet-error-rate. In accordance with one embodiment, the AT **104** can direct the transmission of the DRC message to a specific AP by the use of a Walsh code, which uniquely identifies the specific AP. The DRC message symbols are exclusively OR'ed (XOR) with the unique Walsh code. The XOR operation is referred to as Walsh covering of a signal. Since each AP in the active set of the AT **104** is identified by a unique Walsh code, only the selected AP which performs the identical XOR operation as that performed by the AT **104** with the correct Walsh code can correctly decode the DRC message.

[1051] The data to be transmitted to the AT **104** arrive at the controller **110**. In accordance with one embodiment, the controller **110** sends the data to all APs in AT **104** active set over the backhaul **112**. In another embodiment, the controller **110** first determines, which AP was selected by the AT **104** as the serving AP, and then sends the data to the serving AP. The data are stored in a queue at the AP(s). A paging message is then sent by one or more APs to the AT **104** on respective control channels. The AT **104** demodulates and decodes the signals on one or more control channels to obtain the paging messages.

[1052] At each time slot, the AP can schedule data transmission to any of the ATs that received the paging message. An exemplary method for scheduling transmission is described in U.S. Patent No. 6,229,795, entitled "SYSTEM FOR ALLOCATING RESOURCES IN A COMMUNICATION SYSTEM," assigned to the assignee of the present invention. The AP uses the rate control information received from each AT in the DRC message to

efficiently transmit forward link data at the highest possible rate. In accordance with one embodiment, the AP determines the data rate at which to transmit the data to the AT 104 based on the most recent value of the DRC message received from the AT 104. Additionally, the AP uniquely identifies a transmission to the AT 104 by using a spreading code which is unique to that mobile station. In the exemplary embodiment, this spreading code is the long pseudo noise (PN) code, which is defined by the IS-856 standard.

[1053] The AT 104, for which the data packet is intended, receives the data transmission and decodes the data packet. In accordance with one embodiment, each data packet is associated with an identifier, e.g. a sequence number, which is used by the AT 104 to detect either missed or duplicate transmissions. In such an event, the AT 104 communicates via the reverse link data channel the sequence numbers of the missing data units. The controller 110, which receives the data messages from the AT 104 via the AP communicating with the AT 104, then indicates to the AP what data units were not received by the AT 104. The AP then schedules a retransmission of such data units.

[1054] When the communication link between the AT 104 and the AP 100, operating in the variable rate mode, deteriorates below required reliability level, the AT 104 first attempts to determine whether communication with another AP in the variable rate mode supporting an acceptable rate data is possible. If the AT 104 ascertains such an AP (e.g., the AP 102), a re-pointing to the AP 102, therefore, to a different communication link occurs, and the data transmissions continue from the AP 102 in the variable rate mode. The above-mentioned deterioration of the communication link can be caused by, e.g., the AT 104 moving from a coverage area of the AP 100 to the coverage area of the AP 102, shadowing, fading, and other reasons known to one skilled in the art. Alternatively, when a communication link between the AT 104 and another AP (e.g., the AP 102) that may achieve higher throughput rate than the currently used communication link becomes available, a re-pointing to the AP 102, therefore, to a different communication link occurs, and the data transmissions continue from the AP 102 in the variable rate mode. If the AT 104 fails to detect an AP that can operate in the variable rate mode and support an acceptable data rate, the AT 104 transitions into a fixed rate mode.

**[1055]** In accordance with one embodiment, the AT **104** evaluates the communications links with all candidate APs for both variable rate data and fixed rate data modes, and selects the AP, which yields the highest throughput.

**[1056]** The AT **104** will switch from the fixed rate mode back to the variable rate mode if the sector is no longer a member of the AT **104** active set.

**[1057]** In the exemplary embodiment, the above described the fixed rate mode and associated methods for transition to and from the fixed mode are similar to those disclosed in detail in U.S. Application No. 6,205,129, entitled "METHOD AND APPARATUS FOR VARIABLE AND FIXED FORWARD LINK RATE CONTROL IN A MOBILE RADIO COMMUNICATION SYSTEM ", assigned to the assignee of the present invention. Other fixed rate modes and associated methods for transition to and from the fixed mode can also be contemplated and are within the scope of the present invention.

**[1058]**One skilled in the art recognizes that an AP can comprise one or more sectors. In the description above, the term AP was used generically to allow clear explanation of basic concepts of the HDR communication system. However, one skilled in the art can extend the explained concepts to AP comprising any number of sectors. Consequently, the concept of sector will be used throughout the rest of the document.

## Forward Link Structure

**[1059]FIG. 2** illustrates an exemplary forward link waveform **200**. For pedagogical reasons, the waveform **200** is modeled after a forward link waveform of the above-mentioned HDR system. However, one of ordinary skill in the art will understand that the teaching is applicable to different waveforms. Thus, for example, in accordance with one embodiment the waveform does not need to contain pilot signal bursts, and the pilot signal can be transmitted on a separate channel, which can be continuous or bursty. The forward link **200** is defined in terms of frames. A frame is a structure comprising 16 time-slots **202**, each time-slot **202** being 2048 chips long, corresponding to a 1.66 ms. time-slot duration, and, consequently, a 26.66 ms. frame duration. Each time-slot **202** is divided into two half-time-slots **202a**, **202b**, with pilot bursts **204a**, **204b** transmitted within each half-time-slot **202a**, **202b**. In the exemplary

embodiment, each pilot burst **204a**, **204b** is 96 chips long, and is centered at the mid-point of its associated half-time-slot **202a**, **202b**. The pilot bursts **204a**, **204b** comprise a pilot channel signal covered by a Walsh cover with index 0. A forward medium access control channel (MAC) **206** forms two bursts, which are transmitted immediately before and immediately after the pilot burst **204** of each half-time-slot **202**. In the exemplary embodiment, the MAC is composed of up to 64 code channels, which are orthogonally covered by 64-ary Walsh codes. Each code channel is identified by a MAC index, which has a value between 1 and 64, and identifies a unique 64-ary Walsh cover. A reverse power control channel (RPC) is used to regulate the power of the reverse link signals for each subscriber station. One of the available MAC indices between 5 and 63 is used for reverse link power control for each subscriber station. MAC index 4 is used for a reverse activity channel (RA), which performs load control on the reverse traffic channel. The forward link traffic channel and control channel payload is sent in the remaining portions **208a** of the first half-time-slot **202a** and the remaining portions **208b** of the second half-time-slot **202b**.

### Re-pointing Based on RPC - Introduction

[1060] In accordance with one embodiment, a re-pointing decision is made by an AT in accordance with conditions of a forward link and a reverse link. As described above, the AT determines a forward link quality metric directly, e.g., by measuring the forward link SINR. Similarly, a reverse link quality metric of may comprise, e.g., a reverse link SINR, a bit-error-rate and/or a packet-error-rate or a DRC erasure rate.

[1061] As discussed, the AT identifies a serving sector of a particular sector and transmits a DRC message on a DRC channel on a reverse link. The reverse link carrying the DRC messages between the AT and the serving sector is subject to various factors that change characteristics of a communication channel through which the DRC messages travel. In wireless communication systems, these factors comprise, but are not limited to: fading, noise, interference from other terminals, and other factors known to one of ordinary skills in the art. The DRC message is protected against the changing characteristics of the communication channel by various methods, e.g.,

message length selection, encoding, symbol repetition, interleaving, transmission power, and other methods known to one of ordinary skill in the art. However, these methods impose performance penalties, e.g., increased overhead, thus, decreased throughput, increased power consumption, increased peak-to-average power, increased power amplifier back-off, more expensive power amplifiers, and other penalties known to one skilled in the art. Therefore, an engineering compromise between a reliability of message delivery and an amount of overhead must be made. Consequently, the conditions of the communication channel can degrade to the point at which the serving sector possibly cannot decode (erases) some of the DRC messages. Therefore, the DRC erasure rate is directly related to the conditions affecting the reverse link, and the DRC erasure rate is a good quality metric of the reverse link. A method and an apparatus utilizing the DRC erasure rate are disclosed in detail in co-pending Application No. 09/892,378, entitled "METHOD AND APPARATUS FOR SELECTING A SERVING SECTOR IN A DATA COMMUNICATION SYSTEM", filed June 26, 2001, and assigned to the assignee of the present invention.

**[1062]** However, the AT can directly determine neither the reverse link SINR nor the DRC erasure rate. Both the reverse link SINR and the DRC erasure rate may be directly determined by the sectors in the AT active set. The sector(s) then supplies the AT with the determined values of the reverse link SINR or the DRC erasure rate via a feedback loop. Because the feedback loop from the sector(s) to the AT introduces a delay, depending on an implementation of a communication system, a performance of a re-pointing using such a feedback for indication of a reverse link condition may suffer due to the delay. Therefore, in accordance with one embodiment, the AT uses indirect method of ascertaining the reverse link quality metric utilizing RPC commands.

**[1063]** The AT then uses the reverse link quality metric together with the forward link quality metric to make a re-pointing decision. Consequently, the AT must be able to generate the forward link quality metric and the reverse link quality metric.



### Forward Link Quality Metric Generation

[1064] In accordance with one embodiment, an AT obtains a forward link quality metric for each sector in the AT's active set, e.g., SINR, by measuring the pilot signal associated with each of the sectors. In accordance with one embodiment, described with reference to FIG. 2, the pilot signal is sent in two bursts centered in each half-slot and the measurement is carried out (updated) in every time slot. The AT computes the updated value of a SINR by processing the pilot signal. In accordance with one embodiment, the processing comprises filtering by a filter with a pre-determined time constant. In accordance with one embodiment, the filter is realized in a digital domain. The value of the pre-determined time constant is established in accordance with system simulation, by experiment or other engineering methods known to one of ordinary skills in the art as an optimum in accordance with:

reliability of the SINR estimate ensuing from a choice of the time constant, and

latency of the SINR estimate ensuing from a choice of the time constant.

[1065] In accordance with one embodiment, the pre-determined time constant is 4 time-slots. However, different implementation and design criteria may yield different values of the time constant.

### Reverse Link Quality Metric Generation

[1066] On the reverse link, each transmitting AT acts as a source of interference to all other ATs in the communication system. To minimize interference on the reverse link and maximize capacity, transmit power of each AT is controlled by three power control loops (Open Loop, Closed Loop and Outer Loop). In the exemplary embodiment, the power control loops are similar to that of the CDMA system disclosed in detail in U.S. Patent No. 5,056,109, entitled "METHOD AND APPARATUS FOR CONTROLLING TRANSMISSION POWER IN A CDMA CELLULAR MOBILE TELEPHONE SYSTEM," and in co-pending Application No. 09/615,355, entitled "MULTI-STATE POWER CONTROL MECHANISM FOR A WIRELESS COMMUNICATION SYSTEM," filed July 13, 2000; both assigned to the assignee of the present invention. Other power control mechanism can also be contemplated and are within the scope of the present invention. In the present invention, each sector receiving

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reverse link from particular ATs transmits RPC bits to each of such ATs on a power control channel of the sector's forward link. In the exemplary embodiment, the power control channel is similar to that of the HDR system disclosed in detail in the IS-856 standard. Other power control channels can also be contemplated and are within the scope of the present invention. In the exemplary embodiment, the power control channel comprises up to 64 orthogonal channels, which are spread with 16-chip Walsh covers. Each Walsh channel transmits one RPC bit at periodic intervals. Each active AT is assigned an RPC index which defines the Walsh cover and QPSK modulation phase (e.g. in-phase or quadrature) for transmission of the RPC bit stream destined for that AT. Because the RPC bits correlate to a condition of the reverse link as determined by a sector, the RPC bits are used by the AT to estimate the reverse link quality metric.

**[1067]** In every time slot, a value of the RPC bit is determined at an AT for each sector in the AT's active set. The AT computes an updated value of an RPC estimate by processing the received RPC bits. In accordance with one embodiment, the processing comprises filtering by a filter with a pre-determined time constant. In accordance with one embodiment, the filter is realized in a digital domain. The value of the pre-determined time constant is established in accordance with system simulation, by experiment or other engineering methods known to one of ordinary skills in the art as an optimum in accordance with:

- reliability of the RPC estimate ensuing from a choice of the time constant, and

- latency of the RPC estimate ensuing from a choice of the time constant.

**[1068]** In accordance with one embodiment, pre-determined time constant is 128 time slots. However, different implementation and design criteria may yield different values of the time constant.

**[1069]** The processed (filtered) RPC estimate (mean) is used to estimate a DRC erasure rate. The relationship between the filtered RPC mean and the DRC erasure rate is very complex and depends on several variables, e.g., type of channel (Additive White Gaussian Noise (AWGN), Rayleigh, Rician), frequency selective fading, doppler rate, shadowing, and other variables known to one of ordinary skills in the art. Therefore, an engineering compromise

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Credit Accumulation phase. The re-pointing decision in accordance with the accumulated credits is made in a Decision phase.

### **Initialization Phase**

[1072] During the initialization phase, the AT selects a current serving sector. In accordance with one embodiment, the current serving sector is a sector with the best forward link quality metric, i.e., the highest SINR. The AT further initializes credits for all non-serving sectors to zero. In accordance with one embodiment, the credits are subsequently initialized to zero if a re-pointing from the current serving sector to a different sector occurred.

[1073] FIG. 5 illustrates a flowchart diagram of the Initialization phase in accordance with one embodiment. The method starts in step 502 and continues in step 504.

[1074] In step 504, the AT selects a current serving sector. In accordance with one embodiment, the current serving sector is a sector with the best forward link quality metric, i.e., the highest SINR, as the serving sector. The AT also sets a variable Hoff\_Flag to 1. This assures that the credits for all non-serving sectors are initially set to zero. The method continues in step 506.

[1075] In step 506, the variable Hoff\_Flag is compared to 1. If the variable Hoff\_Flag is equal to 1, the method continues with initialization in step 508, otherwise, the method continues in a Credit Accumulation Phase.

[1076] In step 508, the AT points the DRC to the selected sector. The method continues in step 510.

[1077] In step 510, a variable Count is set to 1. The method continues in step 512.

[1078] In step 512, the variable Count is tested against an active set size. If the variable Count is less than or equal to the active set size, the method continues in step 514, otherwise, the method continues in the Credit Accumulation Phase.

[1079] In step 514, the inquiry is made whether the sector identified by the variable Count is the current serving sector as re-pointed to in step 508. If the test is positive, the method continues in step 518, otherwise, the method continues in step 516.

[1080] In step 516, credits for the non-serving sectors (C\_NS) identified by the variable Count are set to a value of 0. The method continues in step 518.

[1081] In step 518, the variable Count is incremented, and the method returns to step 512.

### Credit Accumulation

[1082] In accordance with one embodiment, credits of a non-serving sector are incremented by a pre-determined amount (Credit\_UP) if the filtered RPC mean of the non-serving sector (NS\_RPC) is less than a pre-determined threshold (T\_RPC) and the filtered RPC mean of the serving sector (SS\_RPC) is greater than the T\_RPC; or if the NS\_RPC is less than the T\_RPC and the SS\_RPC is less than the T\_RPC and the filtered SINR mean of a non-serving sector (FL\_SINR\_NS) is greater than the filtered SINR mean of a serving sector (FL\_SINR\_SS) modified by a pre-determined value (FL\_SINR\_Th).

[1083] The credits of a non-serving sector are decremented by a pre-determined amount (Credit\_DN) if the FL\_SINR\_NS is less than FL\_SINR\_SS modified by a pre-determined value (FL\_SINR\_Th), or the NS\_RPC is greater than the T\_RPC.

[1084] Because a design criterion for a data communication system is to maximize throughput, a hysteresis FL\_SINR\_Th, is introduced into the credit accumulation process. The requirement that the de-rated forward link SINR of the non-serving sector is greater than a de-rated forward link SINR of the current serving sector modified by the value of the hysteresis to obtain credits assures that a re-pointing improves the forward link data rate. In accordance with one embodiment, the value of FL\_SINR\_Th is selected to allow a re-pointing to occur only if the re-pointing increases the forward link data rate to at least the next highest data rate.

[1085] FIG. 6 illustrates a flowchart diagram of the Credit Accumulation phase in accordance with one embodiment. The method starts in step 602, where a variable Count is set to 1 and a variable NS\_Count is set to 0. The method continues in step 604.

**[1086]**In step **604**, the variable Count is tested against the Active Set Size. If the variable count is less than the Active Set Size, the method continues in step **606** otherwise, the method continues in a Decision phase.

**[1087]**In step **606**, an inquiry is made whether a sector designated by the variable count is the current serving sector. If the test is negative, the method continues in steps **608**, **610** and **612**, otherwise, the method continues in step **628**.

**[1088]**In step **608**, a value of the FL\_SINR\_NS identified by the variable Count is compared against the FL\_SINR\_SS modified by the value of FL\_SINR\_Th. The method continues in step **614**.

**[1089]**In step **610**, a value of NS\_RPC is compared to a T\_RPC. The method continues in step **614**.

**[1090]**In step **612**, a value of a SS\_RPC is compared to the T\_RPC. The method continues in step **614**.

**[1091]**In step **614**, the decision whether to increase or decrease credits of a sector in accordance with pre determined rules is made. The method continues in step **616**.

**[1092]**In step **616**, the decision is tested. If the decision is to increase the C\_NS identified by the variable count, the method continues in step **618**; otherwise, the method continues in step **620**.

**[1093]**In step **618**, a value of the variable C\_NS identified by the variable count is incremented by a value of Credit\_UP. The method continues in step **622**.

**[1094]**In step **620**, a value of the variable C\_NS identified by the variable count is decremented by a value of Credit\_DN. The method continues in step **622**.

**[1095]**In step **622**, a value of the variable C\_NS identified by the variable Count is compared against a pre-determined threshold NS\_Th identified by the variable Count. If the value of the variable C\_NS identified by the variable Count is greater than the NS\_Th identified by the variable Count, the method continues in step **624**; otherwise, the method continues in step **626**.

**[1096]**In step **624**, a value of the variable Cand identified by the variable Count is set to 1 and the value of the variable Cand\_NS is incremented by 1. The method continues in step **628**.

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[1097] In step 626, a value of the variable Cand identified by the variable Count is set to 0. The method continues in step 628.

[1098] In step 628, the variable count is incremented, and the method returns to step 504.

### Decision Phase

[1099] In the decision phase, the AT makes a re-pointing decision. As discussed, a design criterion for a data communication system is to maximize throughput; consequently, the AT decides to re-point only if the candidate sector maximizes the forward link throughput. In accordance with one embodiment, the decision to re-point to a non-serving sector is made if the non-serving sector provides higher FL\_SINR and better quality reverse link, as determined by the non-serving sector's credits. To carry out the decision, the AT first ascertains those non-serving sectors that have credits greater than or equal to a pre-determined threshold (NS\_Th). In accordance with one embodiment, the pre-determined threshold is equal to the Soft/Softer handoff delay. If at least one of the non-serving sectors satisfies this condition, the AT re-points the DRC to the sector with the highest credits. In accordance with one embodiment, if two or more non-serving sectors have equal credits, a sector with the highest quality reverse link is selected. The quality of the reverse link is determined in accordance with the reverse link's filtered RPC mean. In another embodiment, if two or more non-serving sectors have equal credits, a sector with the highest quality forward link is selected.

[1100] If none of the non-serving sectors has credits greater than or equal to the NS\_Th, the AT continues pointing its DRC to the current serving sector.

[1101] FIG. 7 illustrates a flow diagram of the decision phase in accordance with one embodiment. In step 702, the value of the variable NS\_Count is ascertained. If the value of the variable NS\_Count is greater than 1, the method continues in step 704. If the value of the variable NS\_Count is equal to 1, the method continues in step 706; otherwise, the method continues in step 708.

[1102] In step 704, the AT re-points the DRC to the candidate sector identified by the variable count that has the highest quality reverse link in

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accordance with the sector's reverse link's filtered RPC mean. Alternatively, the AT re-points the DRC to the candidate sector identified by the variable count that has the highest quality forward link (not shown). The method continues in step 710.

[1103] In step 706, the AT re-points the DRC to the candidate sector identified by the variable  $\text{Cand}(\text{Count})=1$ . The method continues in step 710.

[1104] In step 708, the AT continues with the current serving sector. The method continues in step 712.

[1105] In step 710, the variable Hoff\_Flag is set to 1. The method returns to the Initiation phase.

[1106] In step 712, the variable Hoff\_Flag is set to 0. The method returns to the Initiation phase.

### **De-rating based Adaptive Server Selection**

[1107] In accordance with another embodiment, the relationship between the filtered RPC mean and the DRC erasure rate is used to scale (de-rate) the forward link SINR to reflect the condition of the reverse link. The de-rated forward link SINR is then used to accumulate credits for a particular sector. To unambiguously award credits, the credits are initialized to a pre-determined value in an Initialization phase. The credits are accumulated in accordance with the forward link quality metric and the reverse quality metric in a Credit Accumulation phase. The re-pointing decision in accordance with the accumulated credits is made in a Decision phase

[1108] One of ordinary skills in the art recognizes that it is not necessary to first determine the DRC erasure rate from a filtered RPC mean, and then use a relationship between a DRC erasure rate and a de-rating for the forward link SINR to determine the forward link SINR de-rating. Instead, the relationship between the filtered RPC mean and the DRC erasure rate and the relationship between a DRC erasure rate and a de-rating for the forward link SINR can be used to provide a relationship between the filtered RPC mean and the de-rating for the forward link SINR. Consequently, curve C in FIG. 8 illustrates a relationship between a filtered RPC mean and a forward link SINR de-rating for a slow fading communication channel, as determined by measurement, simulation and other engineering methods. Curves AR1, AR2, and AR3,

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illustrate embodiments of approximation that have been determined to be a good compromise between the actual relationship and implementation approximation. In another embodiment, illustrated in **FIG. 9**, the relationship between the filtered RPC mean and the forward link SINR de-rating is approximated by a step function with a step at  $T\_RPC$ .

[1109] One of ordinary skills in the art understands that the choice of approximation curves affects the relative contribution of the forward and the reverse link quality metric and, consequently, the performance of the communication system. For example, as illustrated in **FIG. 9**, the approximation depends on choice of the  $T\_RPC$ , the amount of de-rating below the  $T\_RPC$  ( $FL\_D1$ ), and the amount of de-rating above the  $T\_RPC$  ( $FL\_D2$ ).

### Initialization

[1110] During the initialization phase, the AT selects a current serving sector. In accordance with one embodiment, the current serving sector is a sector with the best forward link quality metric, i.e., the highest SINR. The AT further initializes credits for all non-serving sectors to zero. In accordance with one embodiment, the credits are subsequently initialized to zero if a re-pointing from the current serving sector to a different sector occurred. Because the initialization phase in accordance with this embodiment has identical objectives as the initialization phase of the above-described embodiment, the initialization phase in accordance with this embodiment is carried out according to **FIG. 5** and accompanying text.

### Credit Accumulation Phase

[1111] As discussed, in accordance with this embodiment, the relationship between the filtered RPC mean and the DRC erasure rate is used to scale (de-rate) the forward link SINR to reflect the condition of the reverse link. The de-rated forward link SINR is then used to accumulate credits for a particular sector.

[1112] The credits are accumulated by the non-serving sectors in accordance with the de-rated forward link filtered SINR mean. In accordance with one embodiment, the credits of a non-serving sector ( $C\_NS$ ) are incremented by a pre-determined amount ( $Credit\_UP$ ) if a de-rated forward link

SINR of the non-serving sector (FL\_D\_NS) is greater than a de-rated forward link SINR of the current serving sector (FL\_D\_SS) modified by a pre-determined value (FL\_SINR\_Th). The credits of a non-serving sector are decremented by a pre-determined amount (Credit\_DN) if the above condition is not satisfied.

[1113] Because a design criterion for a data communication system is to maximize throughput, a hysteresis FL\_SINR\_Th, is introduced into the credit accumulation process. The requirement that the de-rated forward link SINR of the non-serving sector is greater than a de-rated forward link SINR of the current serving sector modified by the value of the hysteresis to obtain credits assures that a re-pointing improves the forward link data rate. In accordance with one embodiment, the value of FL\_SINR\_Th is selected to allow a re-pointing to occur only if the re-pointing increases the forward link data rate to at least the next highest data rate.

[1114] FIG. 10 illustrates a flowchart diagram of the Credit Accumulation phase in accordance with one embodiment. The method starts in step 1002 where, the variable Count is compared to 0. If the variable Count is greater than 0, the method continues in step 1004, otherwise, the method continues in step 1012.

[1115] In step 1004, values of a filtered FL\_SINR mean and a filtered RPC mean (RPC\_mean) identified by the variable Count are updated. The method continues in step 1006.

[1116] In step 1006, the updated value of the filtered RPC mean identified by the variable Count is mapped on a corresponding value of a de-rating value of a forward link SINR (FL\_D) identified by the variable Count. The method continues in step 1008.

[1117] In step 1008, a de-rated filtered FL\_SINR mean (FL\_SINR\_D) is generated by de-rating the updated filtered FL\_SINR mean identified by the variable Count by the de-rating value FL\_D identified by the variable Count. The method continues in step 1010.

[1118] In step 1010, the variable Count is decremented, and the method returns to step 1002.

[1119] In step 1012, the variable Count is tested against the Active Set Size. If the variable count is less than the Active Set Size, the method continues in step 1014 otherwise, the method continues in a Decision phase.

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[1120] In step 1014, an inquiry is made whether a sector designated by the variable count is the current serving sector. If the test is positive, the method continues in step 1030, otherwise, the method continues in step 1016.

[1121] In step 1016, a value of the de-rated forward link SINR mean of the non-serving sector (FL\_D\_NS) identified by the variable Count is compared against the the de-rated forward link SINR mean for current serving sector (FL\_D\_SS) modified by the value of FL\_SINR\_Th. If the FL\_D\_NS identified by the variable Count is greater than the FL\_D\_SS modified by the value of FL\_SINR\_Th, the method continues in step 1018, otherwise, the method continues in step 1020.

[1122] In step 1018, a value of the variable C\_NS identified by the variable count is incremented by a value of Credit\_UP. The method continues in step 1022.

[1123] In step 1020, a value of the variable C\_NS identified by the variable count is decremented by a value of Credit\_DN. The method continues in step 1022.

[1124] In step 1022, a value of the variable C\_NS identified by the variable Count is compared against an NS\_Th identified by the variable Count. If the value of the variable C\_NS identified by the variable Count is greater than the NS\_Th identified by the variable Count, the method continues in step 1024; otherwise, the method continues in step 1026.

[1125] In step 1024, a value of the variable Cand identified by the variable Count is set to 1 and the value of the variable Cand\_NS is incremented by 1. The method continues in step 1028.

[1126] In step 1026, a value of the variable Cand identified by the variable Count is set to 0. The method continues in step 1028.

[1127] In step 1028, the variable count is incremented, and the method returns to step 1012.

### **Decision Phase**

[1128] In the decision phase, the AT makes a re-pointing decision. As discussed, a design criterion for a data communication system is to maximize throughput; consequently, the AT decides to re-point only if the candidate sector maximizes the forward link throughput. In accordance with one embodiment,

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**[1130]** To prevent re-pointing from occurring too rapidly, a minimum time interval must elapse from the last re-pointing. The minimum time interval is determined in accordance with a communication system implementation. In accordance with one embodiment, the minimum time interval has a value equal to the soft/softer handoff delay. However, to prevent loss of communication in case of sudden change in the communication link condition, if the filtered RPC mean associated with a current serving sector (SS\_RPC) exceeds the RPC threshold (T\_RPC) and at least one non-serving sector has accumulated credits greater than a pre-determined threshold (Hoff\_Th), a re-pointing earlier than the minimum-time interval is allowed. Because the Hoff\_Th determines whether a re-pointing will be allowed when the communication with the serving sector deteriorated, the choice of the Hoff\_Th is deployment dependent. In one embodiment, the Hoff\_Th is set to zero. The AT re-points the DRC to the sector with the highest credits greater than the Hoff\_Th. In accordance with one embodiment, if two or more non-serving sectors have equal credits, a sector with the highest quality reverse link is selected. The quality of the reverse link is determined in accordance with the reverse link's filtered RPC mean. In another

embodiment, if two or more non-serving sectors have equal credits, a sector with the highest quality forward link is selected.

**[1131]**FIG. 11 illustrates a flow diagram of the decision phase in accordance with above described embodiments.

**[1132]** In step **1102**, the value of a variable SS\_RPC is compared against the T\_RPC. If the value of the variable SS\_RPC is less than the value of the T\_RPC, the method continues in step **1104**; otherwise, the method continues in step **1106**.

**[1133]** In step **1104**, the value of the variable NS\_Count is ascertained. If the value of the variable NS\_Count is greater than 1, the method continues in step **1106**. If the value of the variable NS\_Count is equal to 1, the method continues in step **1108**; otherwise, the method continues in step **1110**.

**[1134]**In step **1106**, the AT re-points the DRC to the candidate sector identified by the variable count that has the highest quality reverse link in accordance with the sector's reverse link's filtered RPC mean. Alternatively, the AT re-points the DRC to the candidate sector identified by the variable count that has the highest quality forward link (not shown). The method continues in step **1112**.

**[1135]**In step **1108**, the AT re-points the DRC to the candidate sector identified by the variable Cand(Count)=1. The method continues in step **1112**.

**[1136]**In step 1110, the AT continues with the current serving sector.  
The method continues in step 1114.

**[1137]**In step **1112**, the variable Hoff\_Flag is set to 1. The method returns to the Initiation phase.

**[1138]**In step 1114, the variable Hoff\_Flag is set to 0. The method returns to the Initiation phase.

**[1139]**In step **1116**, the test is made if any of the non-serving sectors has credits exceeding the Hoff\_Th. If no non-serving sector has credits exceeding the Hoff\_Th, the method continues in step **1110**. If one non-serving sector has credits exceeding the Hoff\_Th, the method continues in step **1118**; otherwise, the method continues in step **1120**.

**[1140]**In step **1118**, the AT re-points the DRC to the candidate sector. The method continues in step **1122**.

[illegible]

[1141] In step 1120, the AT re-points the DRC to the candidate sector highest quality reverse link in accordance with the sector's reverse link's filtered RPC mean. Alternatively, the AT re-points the DRC to the candidate sector that has the highest quality forward link (not shown). The method continues in step 1112.

[1142] In step 1122, the variable Hoff\_Flag is set to 1. The method returns to the Initiation phase.

### Re-pointing with Reverse Link Hysteresis

[1143] In accordance with the re-pointing method as described, an AT selects a different sector when a non-serving sector in the AT's active set accumulates sufficient credits. Because the credit accumulation is affected by a filtered RPC mean, when a step function approximation of the relationship between the filtered RPC mean and a DRC erasure rate is used, rapid filtered RPC mean fluctuation around the RPC threshold changes a level of forward link SINR de-rating. Because the filtered RPC mean is re-evaluated each slot, the AT may potentially re-point faster than the minimum time interval between re-pointings (as indicated by soft/softer handoff delay), causing outages and service interruption. Therefore, in order to minimize the outages and the service interruptions, a hysteresis is introduced into the decision process by means of a second RPC threshold as illustrated in **FIGs. 12 and 13**. The second RPC threshold  $T\_RPC\_S$  is determined by simulation, measurement, or other means known to one skilled in the art. **FIG. 12** illustrates a relationship between filtered RPC mean and a DRC erasure rate in accordance with one embodiment of the method where the  $T\_RPC$  equals 0.95, and the  $T\_RPC\_S$  threshold equal to 0.90.

[1144] **FIG. 13** illustrates a relationship between filtered RPC mean and a forward link de-rating in accordance with this embodiment. If the reverse link filtered RPC mean associated with a particular sector is greater than the  $T\_RPC$ , the forward link filtered SINR mean associated with the given sector is decreased (de-rated) by a first pre-determined factor ( $FL\_D1$ ). The forward link filtered SINR stays de-rated, until the filtered RPC mean of the particular sector decreases below the second  $T\_RPC\_S$ . Conversely, if the reverse link filtered RPC mean associated with a particular sector is less than the  $T\_RPC\_S$ , the

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forward link filtered SINR mean associated with the given sector is de-rated by a second pre-determined factor (FL\_D1) until the reverse link filtered RPC mean associated with the particular sector is greater than the T\_RPC.

[1145] Referring back to **FIG. 10** the above-described hysteresis method affects the mapping of step 506.

[1146] Although the reverse link hysteresis was described with respect to the step function approximation of the relationship between the filtered RPC mean and a DRC erasure rate, one of ordinary skills in the art recognizes that such a measure can be taken for other approximations.

### **Re-pointing Using DRC Lock Bit and Multi-level Derating**

[1147] As discussed, in accordance with one embodiment of the communication system of **FIG. 1**, data transmission on the forward link occurs from one sector to one AT during a time-slot at or near the maximum data rate that can be supported by the forward link and the communication system. At each time time-slot, the sector can schedule data transmission to any of the ATs that received the paging message. The sector uses the rate control information received from each AT in the DRC message to efficiently transmit forward link data at the highest possible rate. Consequently, the scheduling algorithm serves AT from which the sector received a valid DRC if the DRC from a particular AT has been erased. The scheduling thus prevents degradation in forward link sector throughput as long as the DRC erasure rate from ATs served by the sector is below a threshold (T\_DRC). In one embodiment the threshold has been determined based on simulations, lab tests, field trials and other means known to one skilled in the art to be 0.4. This knowledge is not exploited in the re-pointing method based on RPC, which can lead to a sub-optimal communication system performance as explained in reference to **FIG. 14**, which illustrates a relation between a filtered RPC mean and a DRC erasure rate for a slow-fading communication channel. Referring to **FIG. 14**:

[1148] In a region marked B2, the filtered RPC mean is greater than T2\_RPC, consequently, under the re-pointing method based on RPC, a re-pointing is triggered, although the DRC Erasure Rate (less than a threshold T\_DRC) is acceptable.

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[1149] In a region marked C1, the filtered RPC mean is less than T2\_RPC, consequently, under the re-pointing method based on RPC, a re-pointing is not triggered, although the DRC Erasure Rate (greater than the threshold T\_DRC) degrades the forward link throughput.

[1150] The above-mentioned issues pertaining to the regions B2 and C1 can be addressed by various methods. In accordance with one embodiment, a re-pointing method utilizing a DRC lock indicator described in the above-mentioned co-pending application serial number 09/829,378 does not use the filtered RPC means to determine when the AT is to re-point; consequently, the issues pertaining to the regions B2 and C2 are eliminated. However, the AT may potentially re-point to a sector, which is also in region C1. Therefore, in accordance with one embodiment, a re-pointing method utilizing a DRC lock indicator in combination with a filtered RPC is used.

[1151] As discussed, the AT can directly determine neither the reverse link SINR nor the DRC erasure rate. Both the reverse link SINR and the DRC erasure rate may be directly determined by the sectors in the AT active set. The sector(s) then supplies the AT with the determined values of the reverse link SINR or the DRC erasure rate via a feedback loop. In order for a sector to transmit accurate information regarding the reverse link SINR or DRC erasure rate, the sector must use some forward link capacity. In order to minimize the impact on forward link capacity the reverse link SINR or the DRC erasure rate is sent with very low granularity. In accordance with one embodiment, the granularity is one bit. Furthermore, a consideration of a feedback loop speed versus a performance of the Reverse Link Traffic Channel performance must be made.

[1152] Therefore, in a Message Based DRC Lock embodiment, each sector in the AT active set monitors the DRC channel and evaluates an erasure rate of the DRC messages. Each sector then sets a DRC Lock Bit for the AT in accordance with the evaluated erasure rate. In accordance with one embodiment, the DRC Lock Bit set to one value, e.g., one ("in-lock"), indicates that the DRC erasure rate is acceptable; the DRC Lock Bit set to a second value, e.g., zero ("out-of-lock"), indicates that the DRC erasure rate is unacceptable. The serving sector then sends the DRC Lock Bit to the AT in a message on a control channel. The control channel for a communication

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system in accordance with the IS-856 standard is transmitted periodically every 426 ms.

[1153] In accordance with one embodiment, the decision whether to re-point is made in accordance with the DRC Lock Bit. The decision to which of the non-serving sectors to re-point is made in accordance with the above-described RPC method. The non-serving sectors are assigned to different groups (Group 1 and Group 2 in **FIG. 14**) in accordance to their filtered RPC mean and T1\_RPC, T2\_RPC. The AT first ascertains those non-serving sectors that belong to Group 1. If at least one of the non-serving belong to Group 1, the AT re-points the DRC to the sector from this group with the highest credits. If none of the non-serving sectors belong to Group 1, the AT ascertains those non-serving sectors that belong to Group 2. If at least one of the non-serving belong to Group 2, the AT re-points the DRC to the sector from this group with the highest credits. In accordance with one embodiment, if two or more non-serving sectors have equal credits, a sector with the highest quality reverse link is selected. If none of the non-serving sectors belongs to either group, the AT continues pointing its DRC to the current serving sector. One skilled in the art recognizes that the assignment to different groups has been described as a concept for pedagogical purposes. In an implementation in accordance with one embodiment, the "assignment" occurs as the filtered RPC mean of each sector is evaluated. The combined Message Based DRC Lock and filtered RPC method is described in details below.

### **Access Point Processing**

[1154] The processing method at the sector in accordance with one embodiment comprises three phases. In the first phase, mapping a DRC Erasure and/or a valid DRC to a binary form generates a DRC Erasure Bit. In the second phase, processing the DRC Erasure Bits generates a DRC erasure rate. In the third phase, sampling the processed DRC erasure rate every control channel period generates a DRC Lock Bit.

[1155] The above-described phases one and two are repeated every time-slot by every sector in the AT active set, and is illustrated in **FIG. 15**. The method starts in step **1502**. The method continues in step **1504**.

[1156] In step 1504, an updated DRC is generated. The method continues in step 1506.

[1157] In step 1506, the updated DRC is tested. If the DRC was erased, the method continues in step 1508, otherwise, the method continues in step 1510.

[1158] In step 1508, the DRC Erasure Bit is assigned a value of one. The method continues in step 1512.

[1159] In step 1510, the DRC Erasure Bit is assigned a value of zero. The method continues in step 1512.

[1160] In step 1512, the DRC Erasure Bit is processed to generate a DRC erasure rate. In accordance with one embodiment, the processing comprises filtering by a filter with a pre-determined time constant. In accordance with one embodiment, the filter is realized in a digital domain. The value of the pre-determined time constant is established in accordance with system simulation, by experiment or other engineering methods known to one of ordinary skills in the art as an optimum in accordance with:

reliability of an estimate ensuing from a choice of the time constant, and

latency of an estimate ensuing from the choice of the time constant.

[1161] The method continues in step 1514.

[1162] In step 1514, the system time is tested to establish the beginning of a control channel capsule. If the test is positive, the method continues in step 1516, otherwise the method returns to step 1504.

[1163] Steps 1516 through 1526, introduce hysteresis rules for generating the DRC Lock Bit. The hysteresis is introduced to avoid rapid re-pointing when the channel SINR varies rapidly. The hysteresis rules are as follows:

[1164] If the DRC Lock Bit is currently set to one, then the filtered DRC erasure rate must exceed first DRC erasure threshold (DRC\_Erasure\_Th2) for the DRC Lock Bit to be set to zero; and

[1165] If the DRC Lock Bit is currently set to zero, then the Filtered DRC Erasure rate has to be below a second pre-determined DRC erasure threshold (DRC\_Erasure\_Th1) for the DRC Lock to be set to one.

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[1166] In accordance with one embodiment, the values DRC\_Erase\_Th1 and DRC\_Erase\_Th2 are pre-determined in accordance with the communication system simulation, by experiment or other engineering methods known to one of ordinary skills in the art. In another embodiment, the values DRC\_Erase\_Th1 and DRC\_Erase\_Th2 are changed in accordance with the change of the conditions of the communication link. In either embodiment, the values of DRC\_Erase\_Th1 and DRC\_Erase\_Th2 are selected to optimize the following requirements to:

minimize the dead-zone (when the DRC Lock Bit is not updated); and  
transmit the most current reverse link channel state information to the AT.

[1167] In step 1516, the current DRC Lock Bit value is compared to 1. If the DRC Lock Bit value equals 1, the method continues in step 1520, otherwise, the method continues in step 1518.

[1168] In step 1518, the DRC erasure rate is compared to the DRC\_Erase\_Th1. If the DRC erasure rate is less than the DRC\_Erase\_Th1, the method continues in step 1522, otherwise, the method continues in step 1524.

[1169] In step 1520, the DRC erasure rate is compared to the DRC\_Erase\_Th2. If the DRC erasure rate is less than the DRC\_Erase\_Th2, the method continues in step 1524, otherwise, the method continues in step 1526.

[1170] In step 1522, the DRC Lock Bit value is set to 0. The method continues in step 1528.

[1171] In step 1524, the DRC Lock Bit value is set to 1. The method continues in step 1528.

[1172] In step 1526, the DRC Lock Bit value is set to 0. The method continues in step 1528.

[1173] In step 1528, the DRC Lock Bit is set at the appropriate position of the control channel message. The method returns to step 1504.

#### **Access Terminal Processing**

[1174] At the AT, the DRC Lock Bit is recovered from the forward link of the serving sector. A re-pointing decision is made in accordance with the value

of the DRC Lock Bit. If the DRC Lock Bit is "in-lock," the reverse link from the AT to the serving sector is of sufficient quality; consequently, no re-pointing is mandated. If the DRC Lock Bit is "out-of-lock," the reverse link from the AT to the sector is of insufficient quality and a re-pointing may be required. In accordance with one embodiment, the decision to re-point to a non-serving sector is made if a non-serving sector provides higher throughput in accordance with the non-serving sector's FL\_SINR and reverse link quality metric, as determined by the non-serving sector's credits. In accordance with one embodiment, the relationship between the filtered RPC mean and the DRC erasure rate is used to scale (de-rate) the forward link SINR to reflect the condition of the reverse link. The de-rated forward link SINR is then used to accumulate the credits for the non-serving sector. Consequently, the Initialization phase in accordance with this embodiment is carried out according to FIG. 5 and accompanying text.

[1175] Similarly, the Credit Accumulation phase is carried out according to FIG. 10 and accompanying text with the following modification. In step 504, the filtered RPC mean (F\_RPC\_mean) identified by the variable Count is updated. As described, the filtered RPC mean is obtained by filtering received RPC bits by a filter with a pre-determined time constant. Referring to FIG. 14, if the filtered RPC mean fluctuates around the T2\_RPC, the sector classification between B1, C1 and B2, C2 changes rapidly, which increases likelihood that a sector, which belongs to B2, C2 is classified as B1, C1 and vice versa. Because the forward link throughput is negatively affected by incorrect classification, in accordance with one embodiment, the transition between the between B1, C1 and B2, C2 is controlled. This can be accomplished, for example, by generating the filtered RPC mean using different time constants for sectors in B1, C1 and B2, C2. Consequently, in accordance with another embodiment, the time constant for sectors in B1, C1 is smaller than the time constant for sectors in and B2, C2, which results in a contraction of regions B and C without delaying transition from Group 1 to 2.

[1176] To carry out the decision to re-point, the AT first ascertains those non-serving sectors that have credits greater than or equal to a per-determined threshold (NS\_Th). In accordance with one embodiment, the pre-determined threshold is equal to a fraction of the Soft/Softer handoff delay. If at least one of

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the non-serving sectors satisfies this condition, the AT re-points the DRC to the sector with the highest credits. In accordance with one embodiment, if two or more non-serving sectors have equal credits, a sector with the highest quality reverse link is selected. The quality of the reverse link is determined in accordance with the reverse link's filtered RPC mean. In another embodiment, if two or more non-serving sectors have equal credits, a sector with the highest quality forward link is selected.

[1177] If none of the non-serving sectors has credits greater than or equal to a pre-determined threshold (NS\_Th), in accordance with one embodiment, the AT continues pointing its DRC to the current serving sector. In accordance with another embodiment, the AT further determines, whether at least one non-serving sector has accumulated credits greater than a pre-determined threshold (Hoff\_Th). In accordance with one embodiment, if two or more non-serving sectors have equal accumulated credits greater than the Hoff\_Th, a sector with the highest quality reverse link is selected. The quality of the reverse link is determined in accordance with the reverse link's filtered RPC mean. In another embodiment, if two or more non-serving sectors have equal accumulated credits greater than the Hoff\_Th, a sector with the highest quality forward link is selected. If none of the non-serving sectors has credits greater than or equal to the Hoff\_Th, the AT continues pointing its DRC to the current serving sector.

[1178] FIG. 16 illustrates a flow diagram of the decision phase in accordance with above described embodiments.

[1179] In step 1602, the received DRC Lock Bit from the current serving sector is tested. If the DRC Lock Bit of the current serving sector is "out-of-lock" the method continues in step 1604, otherwise, the method continues in step 1610.

[1180] In step 1604, the value of the variable NS\_Count is ascertained. If the value of the variable NS\_Count is greater than 1, the method continues in step 1606. If the value of the variable NS\_Count is equal to 1, the method continues in step 1608; otherwise, the method continues in step 1610.

[1181] In step 1606, the AT re-points the DRC to the candidate sector identified by the variable count that has the highest quality reverse link in accordance with the sector's reverse link's filtered RPC mean. Alternatively, the

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AT re-points the DRC to the candidate sector identified by the variable count that has the highest quality forward link (not shown). The method continues in step 1612.

[1182] In step 1608, the AT re-points the DRC to the candidate sector identified by the variable  $\text{Cand}(\text{Count})=1$ . The method continues in step 1612.

[1183] In step 1610, the AT continues with the current serving sector. The method continues in step 1614.

[1184] In step 1612, the variable Hoff\_Flag is set to 1. The method returns to the Initiation phase.

[1185] In step 1614, the variable Hoff\_Flag is set to 0. The method returns to the Initiation phase.

[1186] FIG. 16, describes only the embodiment, in accordance to which the AT continues pointing its DRC to the current serving sector if none of the non-serving sectors has credits greater than or equal to the NS\_Th. However, one of ordinary skills in the art would easy construct a flowchart for the

### Re-pointing with a Modified Set Management

[1187] In a wireless communication system, an imbalance may occur between a forward link and a reverse link. Imbalance occurs, when a forward link quality metric from a first sector is greater than the forward link quality metric from a second sector, and a reverse link quality metric from a first sector is less than a reverse link quality metric from a second sector. It has been observed that low levels of imbalance, e.g., less than 1dB are almost always present in a communication system of FIG. 1. This level of imbalance appears to have little impact on either the forward link requested/served rate or on the DRC Erasure rate on the reverse link. Consequently, such an imbalance is accepted because the cost, e.g., outage associated with re-pointing DRC's in a dynamic communication system environment (changing channel conditions, AT mobility etc), far exceeds the small penalty in forward link sector throughput that may result.

[1188] As the imbalance increases, the sector with the better forward link quality metric has a higher DRC erasure rate. Consequently, the advantage of an AT pointing it's DRC to a sector with a better forward link quality metric is reduced because the DRC erasure rate to the sector with the better forward link

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quality metric may be so large as to reduce the forward link throughput significantly. In an extreme case, if the DRC Erasure Rate is 100%, regardless of the forward link quality metric, the AT will not be served. On the other hand, re-pointing the AT's DRC to a sector with a better reverse link quality metric may not improve the forward link throughput because the sector's forward link quality metric is reduced.

[1189] Because the actual forward link throughput that an AT achieves is a function of the data queues to the AT and forward link scheduling method, the forward link throughput cannot be known apriori. Therefore, the re-pointing method attempts to select the best sector assuming that the data queues are full and that the scheduling method would select the AT for service. The re-pointing method further considers that from an AT standpoint, imbalance is an issue only if it degrades the AT throughput by one rate on the forward link. For example, consider a static channel with the following conditions:

[1190] Sector 1 forward link quality metric FL1\_SINR is 3dB higher than sector 2 forward link quality metric FL2\_SINR, therefore, the forward link of sector 1 can support 614kB rate, and the forward link of sector 2 can support 307kB rate.

[1191] Reverse link quality metric, as measured by the Reverse Link Pilot channel SINR ( $E_{cp}/N_t$ ), from sector 1 RL1\_  $E_{cp}/N_t$  is 3dB lower than reverse link quality metric from sector 2 RL2\_  $E_{cp}/N_t$ , therefore, a filtered RPC mean of RL1 = 0.9, a filtered RPC mean of RL2 is 0.1616. The corresponding DRC Erasure Rate of RL1 is 0.8, DRC Erasure Rate of RL2 is 0.1.

[1192] If the AT points DRC to sector 1 it receives a higher throughput if the AT is served, but the likelihood of it being served is less than or equal to 20% (Erasure Rate of RL1 is 0.8). If the AT points DRC to sector 2 it receives a lower throughput if the AT is served, but the likelihood of it being served is less than or equal to 90% (Erasure Rate of RL2 is 0.1). Consequently, the throughput from sector 1 is  $614\text{kB} \times 0.2 = 122.8\text{kB}$ , and the throughput from sector 2 is  $307\text{k} \times 0.9 = 276.3\text{k}$ . Clearly, the AT gains from pointing its DRC to sector 2.

[1193] Let us assume that in a communication system of FIG. 1, the forward link quality metric 106(1) is greater than the quality metric of the forward link 106(2), and the quality metric of the reverse link 108(1) is less than the

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quality metric of the reverse link **108(1)**, causing a severe imbalance as described above. As has been discussed, the AT utilizes a pre-determined add threshold and a pre-determined drop threshold for managing the AT's active set. In accordance with one embodiment, if the SINR of the forward link **106(2)** stays below the pre-determined drop threshold for a period equal to or greater than a pre-determined time period, the AT requests that the sector at AP **102** be removed from the AT active set. Because the SINR of the reverse link **108(1)** is small, a sector at the AP **100** requests the AT via RPC commands to increase the AT transmit power. Consequently, the AT transmits more power than average, which has an adverse impact on reverse link capacity. Furthermore, because the sector at AP **102** was removed from the AT active set, the above-described re-pointing method cannot be used.

[1194] Therefore, in one embodiment, the set management method is implemented at the sectors. When the AT determines that a pilot signal for a sector, e.g., the sector at AP **102** should be removed from the AT active set, the AT sends one request via a Route Update message to the AP **102** to remove the sector from the AT active set. A change of the active set of the AT in response to the Route Update message are at the discretion of the AP. In order to alleviate the severe imbalance the AP's set management method may determine that a reverse link associated with the forward link of the sector at AP **102** has a sufficient quality metric, and deny the request. The AP's set management method retains the information of the AT request. Thus, if the associated reverse link SINR deteriorates, the AP's set management method may delete the particular pilot signal from the active set at a later instant even prior to another Route Update message from the AT where the another Route Update message is sent on detecting other potential changes to the active set at the AT.

[1195] In other embodiment, the set management can be used to affect a grade of service. For example, the AP may decide to exclude users whose service is below a certain grade by adjusting the existing setting of the drop threshold. The drop threshold is adjusted in accordance with the minimal forward link SINR threshold at which a desired data rate can be decoded. In accordance with one embodiment, for decoding of the lowest data rate equal to 38.4 kbps, the minimal forward link SINR threshold equals  $-11.5$  dB. Therefore,

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it is beneficial to continue tracking the forward link at SINR around  $-12\text{dB}$ , accordingly the modified the pre-determined drop threshold equals  $-12\text{dB}$ .

[1196] One skilled in the art will appreciate that the effects of the link imbalance and the method of adjusting of the pre-determined drop threshold has been described with respect to a communication system of FIG. 1 for pedagogical purposes only. The effects of link imbalance affect every communication system utilizing a soft handoff, and can be alleviated by the method disclosed as long as the management of sectors, equivalent to management of active set, is employed by the communication system. Consequently, such communication system includes, but is not limited to, systems in accordance with the IS-95 standards, the W-CDMA standard, and the IS-2000 standard.

[1197] Those of ordinary skill in the art will recognize that although the various embodiments were described in terms of flowcharts and methods, such was done for pedagogical purposes only. The methods can be performed by an apparatus, which in one embodiment comprises a processor interfaced with a transmitter, a receiver and any other appropriate blocks of the AT and/or AP.

[1198] Those of ordinary skills in the art would understand that information and signals may be represented using any of a variety of different technologies and techniques. For example, data, instructions, commands, information, signals, bits, symbols, and chips that may be referenced throughout the above description may be represented by voltages, currents, electromagnetic waves, magnetic fields or particles, optical fields or particles, or any combination thereof.

[1199] Those of skill would further appreciate that the various illustrative logical blocks, modules, circuits, and algorithm steps described in connection with the embodiments disclosed herein may be implemented as electronic hardware, computer software, or combinations of both. To clearly illustrate this interchangeability of hardware and software, various illustrative components, blocks, modules, circuits, and steps have been described above generally in terms of their functionality. Whether such functionality is implemented as hardware or software depends upon the particular application and design constraints imposed on the overall system. Skilled artisans may implement the described functionality in varying ways for each particular application, but such

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implementation decisions should not be interpreted as causing a departure from the scope of the present invention.

[1200] The various illustrative logical blocks, modules, and circuits described in connection with the embodiments disclosed herein may be implemented or performed with a general purpose processor, a digital signal processor (DSP), an application specific integrated circuit (ASIC), a field programmable gate array (FPGA) or other programmable logic device, discrete gate or transistor logic, discrete hardware components, or any combination thereof designed to perform the functions described herein. A general purpose processor may be a microprocessor, but in the alternative, the processor may be any conventional processor, controller, microcontroller, or state machine. A processor may also be implemented as a combination of computing devices, e.g., a combination of a DSP and a microprocessor, a plurality of microprocessors, one or more microprocessors in conjunction with a DSP core, or any other such configuration.

[1201] The steps of a method or algorithm described in connection with the embodiments disclosed herein may be embodied directly in hardware, in a software module executed by a processor, or in a combination of the two. A software module may reside in RAM memory, flash memory, ROM memory, EPROM memory, EEPROM memory, registers, hard disk, a removable disk, a CD-ROM, or any other form of storage medium known in the art. An exemplary storage medium is coupled to the processor such the processor can read information from, and write information to, the storage medium. In the alternative, the storage medium may be integral to the processor. The processor and the storage medium may reside in an ASIC. The ASIC may reside in a user terminal. In the alternative, the processor and the storage medium may reside as discrete components in a user terminal.

[1202] The previous description of the disclosed embodiments is provided to enable any person skilled in the art to make or use the present invention. Various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without departing from the spirit or scope of the invention. Thus, the present invention is not intended to be limited to the

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